

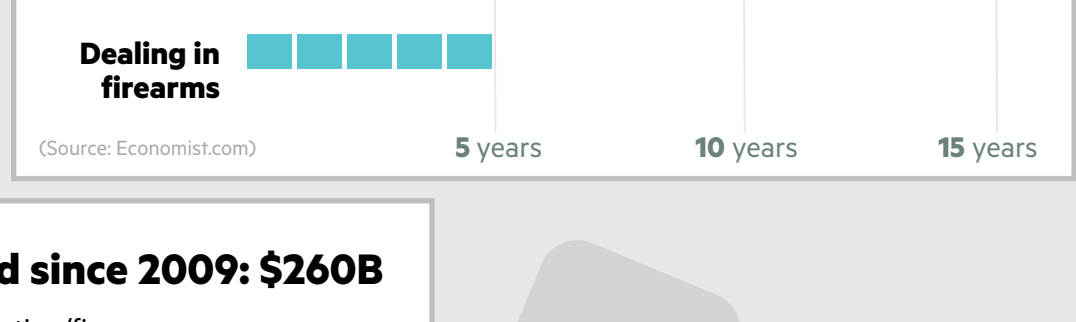
Why you should look into Investigative Analytics to rein in risk

The risks in highly regulated industries are greater than ever

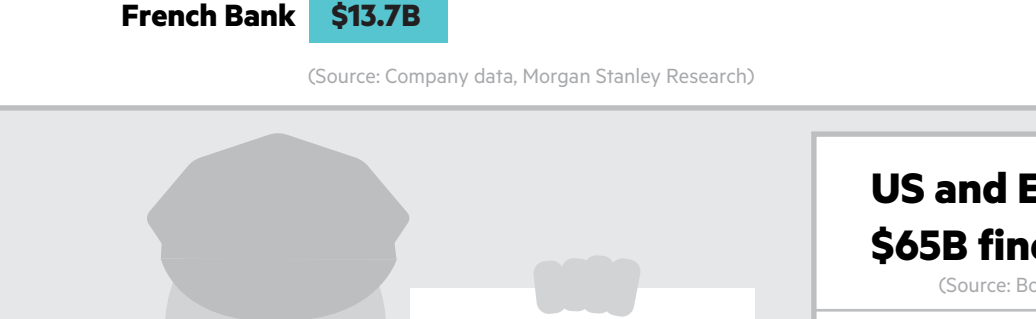


Penalties for non-compliance and fraudulent activities continue to escalate

Senior trader sentenced to 14 years in prison



Big banks litigation/fines incurred since 2009: \$260B



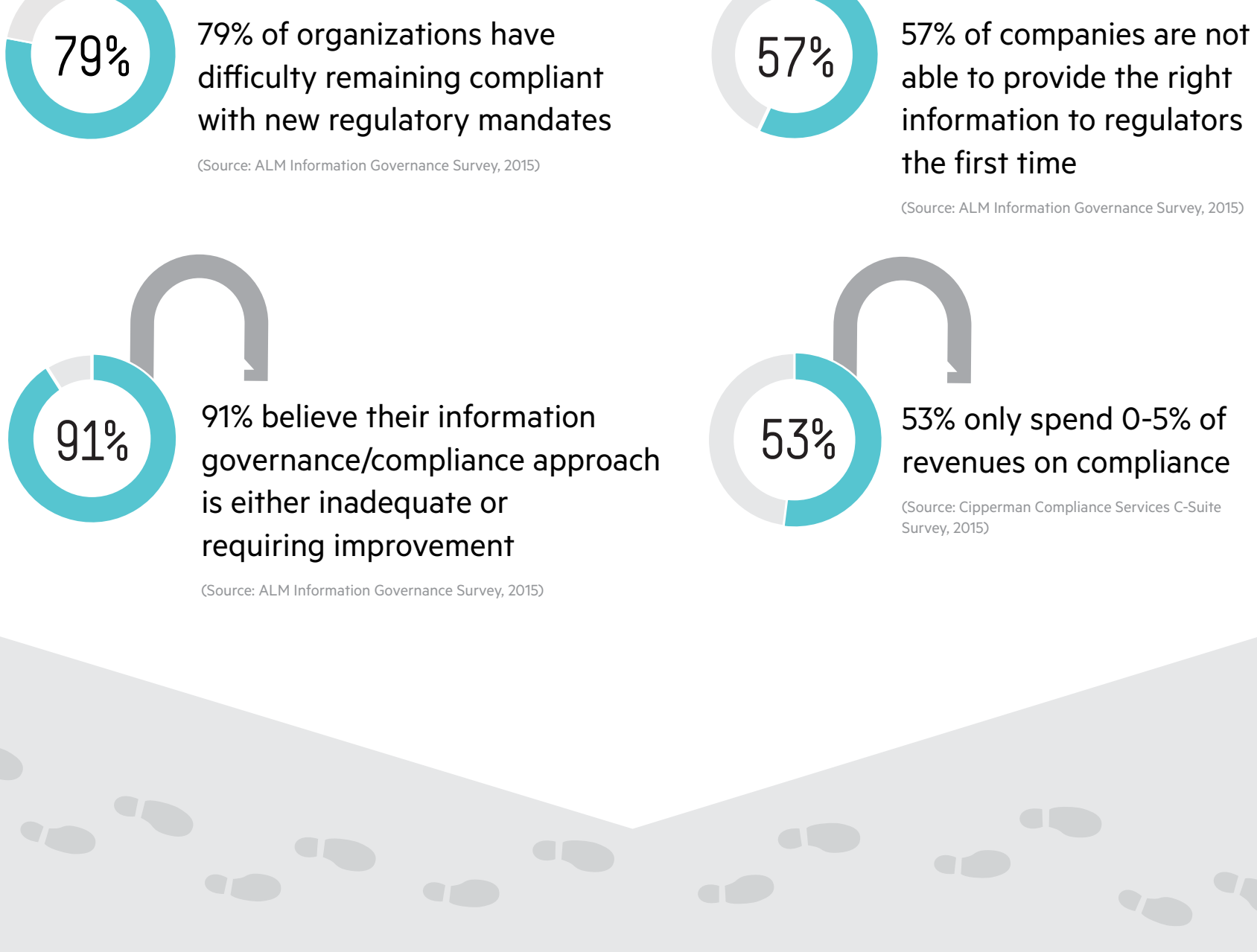
US and European banks paid \$65B fines and penalties in 2014

(Source: Boston Consulting Group, Wall Street Journal)

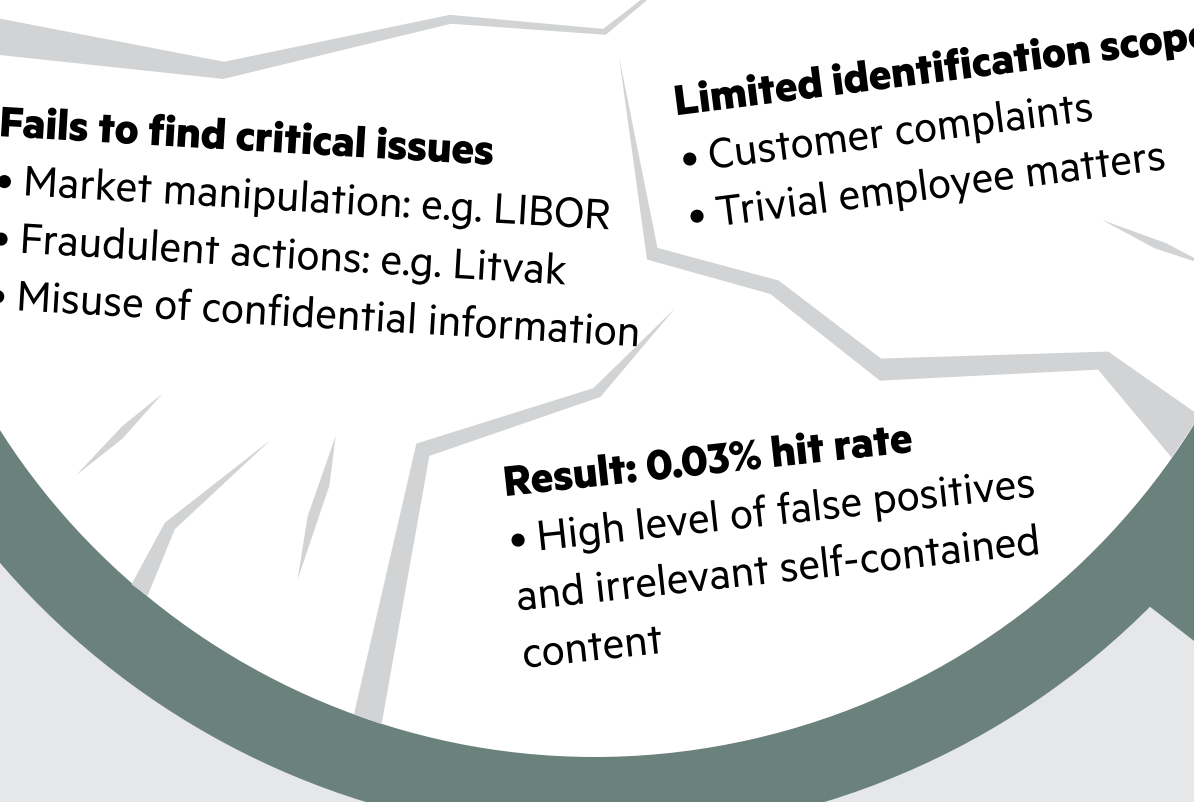
US and European fines and provisions by type



Most organizations continue to expose themselves to financial and legal risk

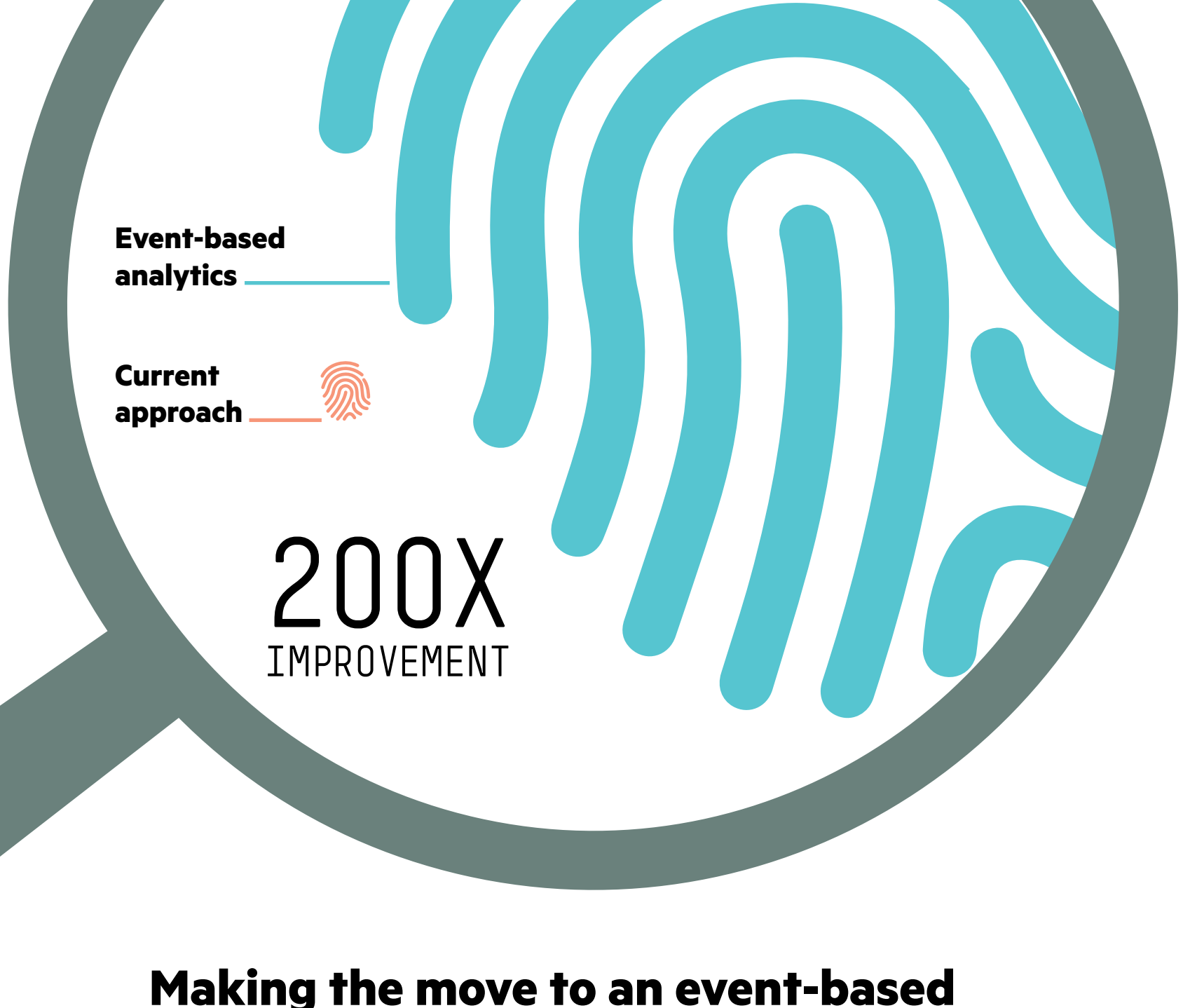


Content surveillance and supervision approaches are ineffective



“...using tools that were really designed to meet the very rudimentary expectations of FINRA...is like scraping away at the bottom of the pyramid with a plastic spoon.”
Chief Compliance Officer, Global Investment Bank

Finding the information that matters



Making the move to an event-based analytics approach

- Search across disparate sources such as communication channels (email, IM, voice), market events, business events, and trade events**
- Proactively identify the communications that matter based on real world events**
- Contextually highlight problematic behavior and fraudulent actions**
 - Insider trading
 - Market manipulation
 - Misrepresentation
 - Anti-trust/competition issues
 - Misuse of client information
 - Rogue employee activities
- Result: More than 200X improvement in finding the information that matters**

5 Five ways you can mitigate risk with HPE Investigative Analytics

- 1 Leverage advanced analytical techniques to derive deeper insight into business activities, communications, and risk events
- 2 Detect patterns, surface anomalies, and identify threats proactively with machine learning algorithms
- 3 Interrogate disparate data sources including email, social media, IM, and voice, in addition to market, business, and trade events
- 4 Act on contextually aware, real-time compliance alerts to business and market events
- 5 Apply powerful, extensible, and cost-effective applications developed with proven surveillance and digital forensics expertise